

Appendix 6

Use of Exemptions (FOI) and Exceptions (EIR) Note that a single request can cite more than one specific exemption / exception as a reason for refusal	Total 2009	Total 2010	Total 2011	Total 2012	Total 2013	Total 2014	1st Qtr 2014	2nd Qtr 2014	3rd Qtr 2014	4th Qtr 2014
For requests under the Freedom of Information Act, number which cited one of the following exemptions as a reason for refusal										
S.(21) - Information provided by other means	4	29	3	36	73	37	22	11	15	4
S.(22) - Information intended for future publication	0	1	3	3	1	2		1		1
S.(23) - Information supplied by, or relating to, bodies dealing with security matters										
S.(24) - National security										
S.(26) – Defence										
S.(27) - International relations										
S.(28) - Relations within the United Kingdom										
S.(29) - The economy										
S.(30) - Investigations and proceedings conducted by public authorities	0	1	0	3	1					
S.(31) - Law enforcement	0	0	5	13	16	10	3	2	3	5
S.(32) - Court records, etc	0	1	0	0						
S.(33) - Audit functions										
S.(34) - Parliamentary privilege										
S.(35) - Formulation of government policy, etc										
S.(36) - Prejudice to effective conduct of public affairs	1	1	0	0						
S.(37) - Communications with Her Majesty, etc. and honours										
S.(38) - Health and safety	1	0	0	0						
S.(40) - Personal information	26	25	22	13	29	22	4	8	5	10
S.(40) – Transfer to SAR	0	0	0	0	1					
S.(41) - Information provided in confidence	1	0	1	0						

	Total 2009	Total 2010	Total 2011	Total 2012	Total 2013	Total 2014	1 st Qtr 2014	2 nd Qtr 2014	3 rd Qtr 2014	4 th Qtr 2014
S.(42) - Legal professional privilege	0	0	5	0	1	1	1			
S.(43) - Commercial interests	1	4	6	2	7	9	1	2	4	2
S.(44) - Prohibitions on disclosure	0	1	2	0						
FOI Refusal – costs	0	0	39	55	38	46	14	11	13	8
FOI Neither confirm nor deny	0	0	2	0		1			1	
Refused – repeated	0	0	1	0	1	2		2		
For requests under the Environmental Information Regulations, number which cited one of the following exceptions as a reason for refusal										
R. 3(a) - Exempt personal data	12	1	1	0						
R. 4(a) – Do not hold	0	2	0	1	1					
R. 4(b) - Manifestly unreasonable	0	1	0	0		1			1	
R. 4(c) - Too general	0	1	0	0						
R. 4(d) - Work in progress / incomplete data	0	0	0	0		1				1
R. 4(e) - Internal communications	0	1	0	0						
R. 5(a) - Adverse effect on international relations, defence, national security or public safety										
R. 5(b) - Adverse effect on course of justice or conduct of inquiries										
R. 5(c) - Adverse effect on intellectual property rights										
R. 5(d) - Impinges on confidentiality of a public authority's work										
R. 5(e) - Impinges on confidentiality of commercial or industrial information										
R. 5(f) - Adverse effect on interests of person who provided the information	0	0	1	0		1			1	
R. 5(g) - Adverse effect on protection of environment to which information relates						1		1		
R.6(1)(b) Accessible by other means						1		1		